FORM PTO-1390

MMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER T THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

**DOCKET NUMBER** -69008/DJB/SMK

U.S. APPLICATION NO. (If known, sect 37

C.F.R. 1.5) 09/486888

INTERNATIONAL APPLICATION NO. PCT/AU98/00710

INTERNATIONAL FILING DATE 2 September 1998

PRIORITY DATE CLAIMED 2 September 1997

TITLE OF INVENTION

## A DISTRIBUTION SYSTEM

APPLICANT(S) FOR DO/EO/US

Puay Kia SIM, John Domenich DEL PAPA, Erich STUMPF, Leigh Francis FIDDES, Peter Andrew BURR, Alexander GONTMAKHER, and Dominic John DIAS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 2.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination  $\boxtimes$ until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. Ø
  - A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - is transmitted herewith (required only if not transmitted by the International Bureau). a. 🗅
  - has been transmitted by the International Bureau. (see enclosed Form PCT/IB/308)
  - The state of the s is not required, as the application was filed in the United States Receiving Office (RO/US) c. 🛛
- 6.Ѿ҅҅□ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. 🗆 are transmitted herewith (required only if not transmitted by the International Bureau). O)
- have been transmitted by the International Bureau. b. 🗆
  - c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired.
  - have not been made and will not be made. d. ⊠
- 8. 🗐 🗀 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. 🗆 🗷 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). [UNSIGNED]
- 10. 🗖 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. 🗆 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗆
- A FIRST preliminary amendment. 13. 🖾
- 14. □ A substitute specification.

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- 15. 🗆 A change of power of attorney and/or address letter.
- 16. 🖾 Other items or information.

Front Page of International Publication No. WO 99/12353

Copy of Form PCT/IB/308

FO 1638 INTERNATIONAL APPLICATION NO. 514 Rec'd F PTO USE ONLY CALCULATIONS The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO ..... \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** 970.00 Surcharge of \$130.00 for furnishing the oath or declaration later than \$ 20 ⊠ 130.00 months from the earliest claimed priority date (37 CFR 1.492(e)). **NUMBER FILED NUMBER EXTRA RATE CLAIMS** Х \$ 18.00 144.00 **Total Claims** 28 -20 = 8 Х \$ 78.00 0 0.00 Independent Claims 2 -3 =\$ \$ Multiple dependent claims (if applicable) 260.00 0.00 TOTAL OF ABOVE CALCULATIONS = 1,244.00 \$ Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity 0.00 (Note 37 CFR 1.9, 1.27, 1.28). ståtement must also be filed. SUBTOTAL = \$ 1,244.00 \$ Processing fee of \$130.00 for furnishing the English translation later than 20 🗆 30 0.00 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 1,244.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be 0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,244.00 Ci Amount to be: refunded charged \$1,244.00 to cover the above fees is enclosed.  $\boxtimes$ A check in the amount of a. Please charge my Deposit Account No. 06-1300 in the amount of \$ to cover the above fees. b. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment c. to Deposit Account No. 06-1300 (Order No. A-69008/DJB/SMK). Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to NOTE: restore the application to pending status. SEND ALL CORRESPONDENCE TO: Stephen M. Knauer, Esq. FLEHR, HOHBACH, TEST, **ALBRITTON & HERBERT** Stephen M. Knauer **Suite 3400** NAME

REGISTRATION NUMBER

1011382 (SMK/ghd) (2/98) \$ 1.14USPCT (8/95)

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**PATENT** 

Attorney Docket No.: A-69008/DJB/SMK

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SIM et al.

NATIONAL PHASE ENTRY OF: PCT/AU98/00710

Serial No.:

Unknown

Filed:

Herewith

For:

A DISTRIBUTION SYSTEM

**EXPRESS MAIL** 

**CERTIFICATION UNDER 37 CFR §1.10** 

I hereby certify that this New Application and the documents listed below and referred to as enclosed herein are being deposited with the United States Postal Service on this date <u>2 March 2000</u> in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number <u>EL406568250US</u> addressed to: Commissioner for Patents Box PCT, Washington, D.C. 20231-0001.

Typed Name: Hammid Sanchez

Signed:

Commissioner for Patents

**BOX PCT** 

Washington, DC 20231

Sir:

The following documents are enclosed herein for filing in the aboveidentified new application:

- 1. Transmittal Letter to the U.S. Designated/Elected Office (in duplicate);
- Declaration and Power of Attorney by Inventors [UNEXECUTED];
- 3. First Preliminary Amendment;
- 4. Front Page of International Publication No. WO 99/12353;
- 5. Copy of Form PCT/IB/308;
- 6. Our Check in the amount of \$ 1,244.00; and
- 7. A Self-Addressed Return Postcard.

Dated: 2 March 2000

Stephen M. Knauer Reg. No. 38,208

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